

RESOLUTION NO. R-2015-24

**RESOLUTION ESTABLISHING A LIST OF ELIGIBLE
BROKER/DEALERS FOR USE BY THE CITY'S INVESTMENT OFFICER, IN
ACCORDANCE WITH THE CITY'S INVESTMENT POLICY.**

WHEREAS, the City of Bastrop Charter, Article VI § 6.13, provides that the City Manager may invest any City monies in accordance with policies adopted by the Council and provided by state law, and such policies shall be reviewed by the Council annually; and

WHEREAS, the City's Investment Policy requires broker/dealers eligible for the purchase and sale of investments be approved by City Council; and

WHEREAS, the City Investment Officer maintains a list of eligible broker/dealers; and

WHEREAS, the City Investment Officer has performed a due diligence review of the list of broker/dealers in compliance with the City's investment policy; and

WHEREAS, eligible broker/dealers have signed the necessary certification indicating their understanding of the City's Investment Policy and have implemented reasonable procedures and controls designed to fulfill its objectives and conditions; and

WHEREAS, the attached list adds Financial Northeastern companies;

NOW, THEREFORE, BE IT RESOLVED BY THE CITY COUNCIL OF THE CITY OF BASTROP, TEXAS THAT:

- (1) The City Council of the City of Bastrop, Texas, approves and adopts the eligible broker/dealer list attached hereto as Exhibit A and made a part hereof by this reference.


PASSED AND ADOPTED by the City Council of the City of Bastrop, Texas on the 10th day of November, 2015.

APPROVED:



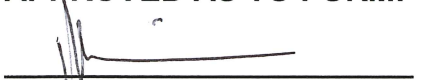
Ken Kesselus, Mayor

ATTEST:



Ann Franklin, City Secretary

APPROVED AS TO FORM:



Jo-Christy Brown, City Attorney

EXHIBIT A

Eligible Broker/Dealer List
Nov. 10, 2015

BROKER
Financial Northeastern Securities, Inc.